### IAP6 Rec'd PCT/PTO 2 3 MAY 2007

PTO-1390 (Rev. 09-2006) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 1-17542			
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)			
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	10/593,208			
PCT/DE2005/000491	16 March 2005 (16.03.2005)	PRIORITY DATE CLAIMED 17 March 2004 (17.03.2004)			
TITLE OF INVENTION METHOD FOR SUSPENDING AND IN	ITRODUCING SOLID MATTER IN A	A HIGH-PRESSURE PROCESS			
APPLICANT(S) FOR DO/EO/US PETER NUENNERICH et al					
Applicant herewith submits to the United St	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:			
1. This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.					
2: This is a SECOND or SUBSEQUENT	submission of items concerning a submission	n under 35 U.S.C. 371.			
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.  The US has been elected (Article 31).					
5. A copy of the International Applicatio	n as filed (35 U.S.C. 371(c)(2))				
a. is attached hereto (required	d only if not communicated by the Internation	nal Bureau).			
b. has been communicated by	y the International Bureau.				
c. is not required, as the appli	ication was filed in the United States Receivi	ng Office (RO/US).			
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
a. is attached hereto.	a. is attached hereto.				
b. has been previously submi	itted under 35 U.S.C. 154(d)(4).				
7. Amendments to the claims of the Inte	ernational Application under PCT Article 19 (	35 U.S.C. 371(c)(3))			
a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated by the International Bureau.					
c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. have not been made and	d. have not been made and will not be made.				
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT  Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.				
2. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.					
An Application Data Sheet under 37 CFR 1.76.					
15. A substitute specification.		•			
16. A power of attorney and/or change of	f address letter.	,			
17. A computer-readable form of the seq	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.			
18. A second copy of the published Intere	national Application under 35 U.S.C. 154(d)(	4).			
19. A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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10/593,208	TON NO. (if known	, see 37 CFR 1.5)	PCT/DE2005/0004		ATTORNEY'S DO 1-17542	CKET NUMBER
Expess mail of Requirements	s w/copy of 37	ber EV659495 1 Formalities I	5387US; return receipt c Letter; Information Disc ort; Form IDS/SB/08A;	losure Statement	w/copy of cover pag	ge of earliest
The follo	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
21. 🔲 Basi	c national fee (37	CFR 1.492(a))		\$300	\$ _	
22.	nination fee (37 Cf	R 1.492(c))				
by IPÉA/	US indicates all cl	aims satisfy provi	rnational preliminary examina sions of PCT Article 33(1)-(4	) <b>\$0</b>	\$ -	,
If the written opin IPEA/US Search fee (37 C International Sear previously	indicates all claim FR 1.445(a)(2)) had nal Searching Au rch Report prepare communicated to	or the Internation as satisfy provision as been paid on the thorityed by an ISA othe at the US by the IB	al preliminary examination rens of PCT Article 33(1)-(4) the international application to the than the US and provided to the things the than the US and provided to the things the	\$0 the USPTO as an \$100 the Office or \$400	\$ -	
Additional fee	compliance with 3 listing in an electro	and drawings file 7 CFR 1.821(c) o onic medium) (37	d in paper over 100 sheets (e r (e) in an electronic medium CFR 1.492(j)). paper or fraction thereof.	excluding sequence or computer		
Total Sheets	Extra Sheets					
- 100 =	/50 =			× \$250	\$ -	ı
Surcharge of \$13 after the date of c	0.00 for furnishing commencement of	any of the searc the national stag	h fee, examination fee, or the e (37 CFR 1.492(h)).	e oath or declaration	\$ 130.00	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		- 20 =		× \$ 50	\$ -	
Independent clair	ns	- 3 =		x \$200	\$ -	
MULTIPLE DEPE	NDENT CLAIM(S	) (if applicable)		+ \$360	\$ -	
			TOTAL OF ABOVE	CALCULATIONS =	\$ 130.00	
Applicant cla	ims small entity st	atus. See 37 CFF	R 1.27. Fees above are redu	ced by 1/2.	-	
				SUBTOTAL =	\$ 130.00	
Processing fee of claimed priority da			translation later than 30 mon	ths from the earliest +	\$ -	
			ТОТА	L NATIONAL FEE =	\$ 130.00	
by an appropriate	cover sheet (37 0	CFR 3.28, 3.31).	1.21(h)). The assignment mu \$40.00 per property	ust be accompanied +	\$ 40.00	
/2007 MKAYPAGH	00000056 10593	208	TOTAL I	EES ENCLOSED =	\$ 170.00	
:1617		130.00 00			Amount to be refunded:	\$
					Amount to be charged	\$

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a. 🗸	A check in the amount of \$ 170.00	to cover the above fees is enclosed.
ь. 🗀	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$ to cover the above fees.
c. 🗸	The Commissioner is hereby authorized to charge any Account No. 13-1816 A duplicate copy of this she	y additional fees which may be required, or credit any overpayment to Deposit neet is enclosed.
d. 🗆	be included on this form. Provide credit card information	information on this form may become public. <b>Credit card information should not</b> ation and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO.
-		ne PTO-2038 form as a PDF along with your EFS-Web submission. Please be so your <b>credit card information may be displayed via PAIR</b> . To protect your y using the electronic payment method.
NOTE: \ and gra	Where an appropriate time limit under 37 CFR 1.495 Inted to restore the International Application to pendi	has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed ding status.
SEND A	LL CORRESPONDENCE TO:	NIN AM
Custo	omer Number 001678	SIGNATURE
Mars	hall & Melhorn, LLC	MARK A. HIXON
	SeaGate - 8th Floor	NAME
Toled	lo, Ohio 43604	44,766
		REGISTRATION NUMBER
Phon	e: (419) 249-7149	
Fax:	(419) 249-7151	

#### CERTIFICATE UNDER 37 CFR 1.10 OF MAILING BY "EXPRESS MAIL"

Express Mail Label Number _Ev 659495367 US
Date of Deposit May 23, 2007
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Signature of person mailing correspondence
Mark A. Hixon  Typed name of person mailing correspondence
I VUCU HAINE OF DEISON HIAIIING CONESDUNGENCE

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS CONCERNING A SUBMISSION UNDER 35 USC 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Application No. 10/593,208

International Appln. No. PCT/DE2005/000491 filed 16 March 2005

Earliest Priority Date Claimed: 17 March 2004 (17.03.2004)

Title: Method for Suspending and Introducing Solid Matter in a

**High-Pressure Process** 

Inventors: Peter Nuennerich et al.

Assignee: Uhde High Pressure Technologies GmbH

Attorneys: Marshall & Melhorn, LLC

Attorney Docket No: 1-17542

"Express Mail" Label NumberEV 659495387 US
I hereby certify that this correspondence is being deposited with the United States
Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10
on May 23, 2007 and is addressed to Mail Stop PCT, Comphissioner for Patents,
P.O. Box 1450, Alexandria, VA 2231β-1450.
(Signature of person mailing correspondence)
Mark A. Hixon
(Typed name of person mailing correspondence)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: PETER NUENNERICH, HERIBERT DIERKES and MICHAEL BORK	] Group Art Unit:
U.S. Serial No. 10/593,208 Filing Under 35 U.S.C. 371 in DO/EO/US of PCT/DE05/000491 filed 16 March 2005	Examiner:
For: METHOD FOR SUSPENDING AND INTRODUCING SOLID MATTER IN A HIGH-PRESSURE PROCESS	Attorney Docket 1-17542

May 23, 2007

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371

#### Honorable Sir:

In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)" dated May 14, 2007 (copy attached), enclosed is the fully executed Combined Declaration and Power of Attorney document.

Also enclosed is an Assignment document from Peter Nuennerich, Heribert Dierkes and Michael Bork to Uhde High Pressure Technologies GmbH for recording

Docket No. 1-17542

in the U.S. Patent and Trademark Office, along with an Information Disclosure Statement with copies of the cited foreign patent references and IDS Form SB/08A.

A check in the amount of \$170.00 is attached to cover the requisite fees for late filing of the executed Declaration (\$130.00) and recordation of the Assignment document (\$40.00).

Please charge any additional fees due or credit any overpayment to Deposit Account No. 13-1816.

ATTORNEYS

Customer No. 001678 MARSHALL & MELHORN, LLC Four SeaGate - 8<sup>th</sup> Floor Toledo, Ohio 43604

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Respectfully submitted,

Mark A. Hixon

Registration No. 44,766



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/593,208 Peter Nuennerich

1-17542

INTERNATIONAL APPLICATION NO.

PCT/DE05/00491

I.A. FILING DATE

PRIORITY DATE

03/16/2005

03/17/2004

1678 MARSHALL & MELHORN FOUR SEAGATE, EIGHT FLOOR **TOLEDO, OH 43604** 

**CONFIRMATION NO. 8760** 371 FORMALITIES LETTER \*OC000000023852886\*

Date Mailed: 05/14/2007

#### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/15/2006
- Copy of the International Search Report filed on 09/15/2006
- Preliminary Amendments filed on 09/15/2006
- Oath or Declaration filed on 09/15/2006
- Request for Immediate Examination filed on 09/15/2006
- U.S. Basic National Fees filed on 09/15/2006
- Priority Documents filed on 09/15/2006
- Specification filed on 09/15/2006
- Claims filed on 09/15/2006
- Abstracts filed on 09/15/2006
- Drawings filed on 09/15/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter. RECEIVED

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

#### FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/593,208	PCT/DE05/00491	1-17542

FORM PCT/DO/EO/905 (371 Formalities Notice)